



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US93/06341 <b>(22) International Filing Date:</b> 2 July 1993 (02.07.93)  <b>(30) Priority data:</b> 07/909,281      6 July 1992 (06.07.92)      US  <b>(71) Applicant:</b> THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK [US/US]; P.O. Box 9, Albany, NY 12201-0009 (US).  <b>(72) Inventors:</b> LA GAMMA, Edmund, F. ; 2 Ledgewood Cir- cle, Setauket, NY 11733 (US). WEISINGER, Gary ; 36 Weizmann Street, 76 100 Rehovot (IL). STRECKER, Ro- bert, E. ; 211 Michigan Street, Port Jefferson, NY 11777 (US). LENN, Nicholas, J. ; 29 Bayview Avenue, East Setauket, NY 11733 (US).		<b>(74) Agents:</b> TIMIAN, Susan, J. et al.; Heslin & Rothenberg, 450 New Karner Road, P.O. Box 12695, Albany, NY 12212-2695 (US).  <b>(81) Designated States:</b> AT, AU, BB, BG, BR, CA, CH, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, KZ, LK, LU, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SK, UA, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> METHOD OF PRODUCING GENETICALLY MODIFIED ASTROCYTES AND USES THEREOF  <b>(57) Abstract</b>  A genetically modified astrocyte for gene therapy is provided. The genetically modified astrocyte includes one or more stably introduced DNA sequences selected from DNA encoding a selectable marker, DNA encoding a poison pill, and DNA encoding a molecule useful for gene therapy. The genetically modified astrocyte may be produced utilizing plasmids and non-viral transfection methods, as are also provided by the subject invention. Methods for producing and utilizing the genetically modified astrocytes and regulating the engineered products, as well as kits thereof, are further provided.		

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METHOD OF PRODUCING GENETICALLY MODIFIED  
ASTROCYTES AND USES THEREOF

This invention was made with support under Grant  
5 No. RR05736 of the National Institutes of Health.  
Accordingly, the U.S. Government has certain rights  
in the invention.

Field of the Invention

10 This invention relates in general to gene  
therapy, and more particularly to gene therapy  
utilizing genetically modified astrocytes. The  
astrocytes are genetically modified using non-viral  
transfection methods, such as a calcium phosphate  
15 procedure. This enables a foreign gene of interest  
to be expressed by the modified astrocyte in a human  
patient or animal subject, thereby being useful for  
gene therapy in the central nervous system. In  
addition, this technology can be utilized for  
20 prevention of illness and modification of normal  
neuroendocrine function, and can be packaged as a  
kit.

Background of the Invention

25 Transplantation has become a major therapeutic  
option for a number of diseases over the past 20  
years [Starzl et al., N Engl J Med 320:1014-  
1021,1092-1099 (1989); TINS 14(8):all pages (1991);

Murray, Science 256:1411-1416 (1992)]. In fact, transplantation of many portions of the central nervous system has been achieved in rodents and other species, including animal models of nigrostriatal dysfunction related to Parkinson disease [Lindvall et al., Science 247:574-577 (1990); Goetz et al., New Engl J Med 320:337-341 (1989); Gill and Lund, J Am Med Assoc 261:2674-2676 (1990)].

Gage et al., in U.S. Patent No. 5,082,670, issued January 21, 1992, discloses the use of genetically modified (by means of retrovirus insertion of genes) fibroblast donor cells for grafting into the central nervous system (CNS) to treat diseased or damaged cells. The fibroblast donor cells can be modified to produce a protein molecule capable of affecting the recovery of cells in the CNS. The entire contents of U.S. Patent No. 5,082,670 are hereby incorporated by reference into the subject application in order to more fully describe the state of the art of the subject invention.

Another cell which has been transplanted into the CNS is the astrocyte [Zhou et al., J Comp Neurol 292:320-330 (1990)]. Astrocytes have a wide range of functions, including: release of growth and trophic factors; inactivation of neurotransmitters; antigen presentation; ionic regulation; and response to certain lymphokines [Lillien and Raff, Neuron 5:111-1219 (1990); Raff, Science 243:1450-1455 (1989); Kimelberg and Norenberg, Scientific American, pp. 66-76 (April 1989)]. In addition, astrocytes from neonatal and adult sources (including human brain) replicate in vitro. Moreover, unlike fibroblasts, astrocytes belong in the brain and have region specific properties [Shinoda et al., Science 245:415-

417 (1989); Batter and Kessler, Molec Brain Res  
11:65-69 (1991)]. When transplanted, astrocytes  
survive at the site of injection and may migrate up  
to several millimeters into the host brain without  
5 forming tumors [Zhou et al. (1990)]. Some of the  
potential advantages of using astrocytes over skin  
fibroblasts concern this migration into the host  
brain, as well as lower epileptogenicity [Jennett,  
Arch Neurol 30:396-398 (1974)], and their natural  
10 expression of neurotransmitter receptors.  
Furthermore, although inadvertently displaced normal  
(primary) fibroblasts following spinal taps form  
spinal fibroma and transplants of established  
neuronal cell lines (e.g. C6-glioma, PC12 cells,  
15 etc.) often form neoplastic tumors, this has not  
occurred with astrocyte transplantation [Zhou et al.  
(1990); Emmett et al., Brain Res 447:223-233 (1988)].  
Indeed, astrocytes only migrate away with little if  
any new cell division. In contrast, fibroblasts do  
20 not migrate and are limited by a reactive gliosis  
surrounding the transplant [Kawaja et al., J Comp  
Neurol 307:695-706 (1991)] while astrocytes can  
interdigitate between neurons after migration and  
thus have direct contact with neurons [Zhou et al.  
25 (1990)].

In addition to the choice of a particular cell  
for transplantation, a method for modifying the  
particular cell must also be chosen. A common  
method, such as the method disclosed in Gage et al.,  
30 is viral-mediated gene transfer. Viral-mediated gene  
transfer raises safety issue problems due to the use  
of active and potentially pathogenic viruses [Amer  
Soc for Microbio News 58(2):67-69 (1992)]. For  
example, the biological properties of retroviruses  
35 utilized by Gage et al. have potential for causing

mutations or cancer, and the possibility of continued infectivity. Furthermore, the physical dimensions of retroviruses limit the amount of foreign DNA which can be transferred via the retrovirus.

5        Another alternative method of gene transfer is chemical mediated gene transfer, such as by stable calcium phosphate transfection. The parameters for transfecting cells by this method vary for each different cell type, and therefore need to be  
10       determined and optimized for each different cell type.

#### Summary of the Invention

15       It is thus an object of the subject invention to provide genetically modified normal (primary) astrocytes which can be utilized in gene therapy. It is a further object to provide such genetically modified astrocytes utilizing a chemical transfection means such as calcium phosphate transfection.

20       It is also an object of this invention to provide plasmids and various vectors for transfecting such astrocytes.

25       Also provided are methods of utilizing the genetically modified astrocytes, selecting for them, inducing the gene of interest, and a "poison pill" method, etc.

30       In accordance with these objectives, the invention provides genetically modified normal (primary) astrocytes which can be maintained in selective media for over one year or can be released to rapidly expand the population in vitro after at least three weeks of selection (see below). In such astrocytes, a stably incorporated expressed gene can be readily detected in vitro prior to  
35       transplantation. These cells can be identified in

vivo following transplantation into the striatum for at least three weeks by Nissel staining, by GFAP staining, and by detection of the gene of interest (e.g. the reporter gene chloramphenicol acetyl transferase activity). Other methods of cell detection include PHAL lectins, microbeads, fluorescein dyes, and <sup>3</sup>H-Thymidine. Furthermore, the expression of a transfected promoter construct (pENKAT12) can be regulated by dopaminergic receptor pathways in such astrocytes.

#### Brief Description of the Figures

These and other objects, features and advantages of this invention will be evident from the following detailed description of preferred embodiments when read in conjunction with the accompanying drawings in which:

Figure 1 illustrates CAT activity for transfected astrocytes in the presence and absence of selective pressure in vitro;

Figure 2 illustrates CAT activity in vivo after transplant of stably transfected astrocytes;

Figure 3 illustrates the construction of plasmid pENKTH2;

Figure 4 illustrates the construction of plasmid pENKHTH1;

Figure 5 illustrates the construction of plasmid pENKBASIC;

Figure 6 illustrates the construction of plasmid pENKBASIC-B;

Figure 7 illustrates the construction of plasmid pGF8neo;

Figure 8 is a dose response curve for dopamine on the inducibility of pENKAT12 in cultured rat astrocytes;

Figure 9 is a dose response curve for apomorphine on the inducibility of pENKAT12 in cultured rat astrocytes;

Figure 10 is a dose response curve for SKF38393-R(+) (D1-receptor agonist) on the inducibility of  
5 pENKAT12 in cultured rat astrocytes;

Figure 11 is a dose response curve for LY17155 (D2-receptor agonist) on the inducibility of pENKAT12 in cultured rat astrocytes;

10 Figure 12 illustrates that dopaminergic receptor subtypes interact to regulate transfected primary rat astrocytes; and

Figure 13 illustrates that dopamine alone induces the endogenous rat ppEnk gene.

15

#### Detailed Description of the Invention

#### MATERIALS AND METHODS

##### 20 Plasmid Constructions

All plasmids for use in development, prevention and therapeutic purposes were made using standard restriction enzyme modification, and other DNA isolation, preparation, and ligation as required.

25 These standard methods are summarized by Ausubel et al., in Current Protocols in Molecular Biology, Wiley & Sons, New York, New York (1992), and by Sambrook et al., in Molecular Cloning: A Laboratory Manual, 2nd ed., Cold Spring Harbor Press, Cold Spring Harbor,  
30 New York (1989).

Site-specific DNA cleavage is performed by treating with the suitable restriction enzyme (or enzymes) under conditions which are generally understood in the art, and the particulars of which  
35 are specified by the manufacturer of these



commercially available restriction enzymes. (See, e.g. New England Biolabs, Product Catalog.) In general, about 1  $\mu$ g of plasmid or DNA sequences is cleaved by one unit of enzyme in about 20  $\mu$ l of  
5 buffer solution. Typically, an excess of restriction enzyme is used to insure complete digestion of the DNA substrate. Incubation times of about one hour to two hours at about 37°C are workable, although variations can be tolerated. After each incubation,  
10 protein is removed by extraction with phenol/chloroform, and may be followed by ether extraction, and the nucleic acid is recovered from aqueous fractions by precipitation with ethanol. If desired, size separation of the cleaved fragments may  
15 be performed by polyacrylamide gel or agarose gel electrophoresis using standard techniques. A general description of size separations is found in Current Protocols in Molecular Biology (1992).

Restriction cleaved fragments may be blunt ended  
20 by treating with the large fragment of Escherichia coli DNA polymerase I (Klenow) in the presence of the four deoxynucleotide triphosphates (dNTPs) using incubation times of about 15 to 25 minutes at 20°C to 25°C in 50 mM Tris (pH 7.6), 50 mM NaCl, 6 mM MgCl<sub>2</sub>, 6  
25 mM DTT and 5-10  $\mu$ M dNTPs. The Klenow fragment fills in at 5' sticky ends but chews back protruding 3' single strands, even though the four dNTPs are present. A more efficient method of chewing back protruding 3' overhangs is by using T4 DNA polymerase  
30 instead of the Klenow fragment. After treatment with Klenow or T4 DNA polymerase, the mixture is extracted with phenol/chloroform and ethanol precipitated. Treatment under appropriate conditions with S1 nuclease or Bal-31 results in hydrolysis of any  
35 single-stranded portion.

Ligations are performed in 15-50  $\mu$ l volumes under the following standard conditions and temperatures: 20 mM Tris-Cl pH 7.5, 10 mM  $MgCl_2$ , 10 mM DTT, 33 mg/ml BSA, 10 mM-50 mM NaCl, and either 40  $\mu$ M ATP, 0.01-0.02 (Weiss) units T4 DNA ligase at 0°C (for "sticky end" ligation) or 1 mM ATP, 0.3-0.6 (Weiss) units T4 DNA ligase at 14°C (for "blunt-end" ligation). Intermolecular "sticky end" ligations are usually performed at 33-100  $\mu$ g/ml total DNA concentrations (5-100 nM total end concentration). Intermolecular blunt end ligations (which can be performed employing a 5-30 fold molar excess of linkers) are performed at 1  $\mu$ M total ends concentration.

In vector construction employing "vector fragments", the vector fragment is commonly treated with bacterial alkaline phosphatase (BAP) or calf intestinal alkaline phosphatase (CIP) in order to remove the 5' phosphate and prevent religation of the vector. Digestions are conducted at pH 8 in approximately 150 mM Tris, in the presence of  $Na^+$  and  $Mg^{+2}$  using about 1 unit of BAP or CIP per mg of vector at 55 to 60°C for about one hour. In order to recover the nucleic acid fragments, the preparation is extracted with phenol/chloroform and ethanol precipitated. Alternatively, religation can be prevented in vectors which have been double digested by additional restriction enzyme digestion of the unwanted fragments.

30

#### Culturing of Rat Astrocytes:

Two day old Sprague Dawley rat pups were sacrificed by decapitation. After the skull was opened and the brain removed, it was placed in CMF-Sal G (calcium magnesium free P-SAL G) in a culture

35

dish on ice [Vilijn et al., Proc Natl Acad Sci USA 85:6551-6555 (1988)]. Striata from ten animals were microdissected to seed approximately 30 (1.5 ml) dishes at  $5 \times 10^5$  cells per dish. This tissue was

5 minced with forceps, transferred to a 15 ml sterile conical tube, and the supernatant that remained after momentary settling was used to rinse the culture plate. The tissue was then centrifuged (500-1000xg, 1 minute), the supernatant was aspirated off, and the

10 cells were resuspended in 2 ml of 0.1% trypsin (1.0% Gibco #610-5095AE diluted 1:10 v/v with CMF-Sal G) and allowed to incubate for 30 minutes at 37°C. Incubation was followed by recentrifugation (500-1000xg, 1 minute) and resuspension of the pellet in 2

15 ml of complete media by gentle trituration until a uniform suspension was seen. The cells were plated at a density ratio of  $5 \times 10^5$  cells per 1.5 ml of complete media (swirled gently) on poly-D-lysine (Sigma #P7886, pH 8.5) coated plates (35 mm dish,

20 Falcon #3001) ( $1.0 \times 10^6/10$  ml for 100 mm dish, Falcon #3003) and incubated at 37°C, 100% relative humidity and 5% CO<sub>2</sub>, for five to six days. The media was then replaced with ice cold media (1.5 ml for 35 mm dish; or 10 ml for 100 mm dish) and the dishes were

25 agitated to remove neural non-adherent cells [Vilijn et al. (1988)]. Subsequently, the media (37°C) was changed every 4 to 5 days, until the cells grew to confluency (about two weeks), and then the cells were passaged every 3 weeks using trypsin (see below) to

30 release the cells from the poly-D-lysine coated plates. At this point, the cells were either used for transfection or for primary culture experiments.

Identity of the astrocyte cells was validated by glial fibrillary acidic protein (GFAP) staining and

35 morphology. Astrocytes at low density have star-like

shapes and are very flat; at high density they form a "cobble-stone" pattern. Neurons, on the contrary, have long processes (neurofilaments), and are less than 1% of the cells. Fibroblasts look very similar.

5 to astrocytes, but are GFAP negative.

Oligodendrocytes are dark cells with short processes which are much smaller than astrocytes and sit on the surface of the astrocytes. Using the above-described protocol, over 95% of the astrocyte cells were GFAP  
10 positive.

#### Replating Protocol

Cells are replated by placing 2-3 ml of Serum Free Medium or PBS x 2 in each 100 mm plate and  
15 adding 0.05% Trypsin-EDTA, Gibco #610-5300Af [0.5 ml in 1.5 ml Dish (30 mm); 1.0 ml in 5 ml Dish (60 mm); 2.0 ml in 10 ml Dish (100 mm)]. Incubate at 37°C for 5 minutes, then tap culture dish 25 times to release rounded up cells. Pool samples and add 1:1 (v/v)  
20 media with serum. Centrifuge for 5 minutes at 1000 rpm (500-1000g). At this point, consider repeating trypsin treatment of the original plates. Then resuspend the cells in an appropriate volume and count an aliquot. Replate at about  $0.5 \times 10^6/30$  mm  
25 Dish,  $1.0 \times 10^6/60$  mm Dish, or  $2.0 \times 10^6/100$  mm Dish (or one-half this amount for transfection).

Cell Handling After Transfection: Near confluent astrocyte cultures were replated at  $1 \times 10^6$  cells per  
30 100 mm culture dish, and then plasmids (pRSVCAT or pENKAT12, 10  $\mu$ g) were introduced into astrocytes by the calcium phosphate transfection procedure. Stably transfected cells (see next section) were developed by co-transfection of 10-15  $\mu$ g of a promoter reporter  
35 ("gene of interest") and 3  $\mu$ g of pMCINeo PolyA

(Stratagene) (or equivalently pRSVNEO) followed by glycerol shock 6-7 hours later. Then the media covering the cells was changed to selective media 16-18 hours later. The cells were then maintained for at least 3 weeks in selective medium containing G418 (300  $\mu$ g/ml; note - 100% mortality of cells which do not contain a resistance gene occurs at less than 200  $\mu$ g/ml G418 within 14 days). G418-resistant astrocytes were grown in culture for at least 3 additional weeks without selective pressure prior to transplantation. A portion of stably transfected cells were harvested and lysates assayed for CAT enzyme activity [Gorman et al., Molecular Cellular Biology 2:1044-1051 (1982)]. Remaining cells were used for transplantation.

Following transfection of primary astrocytes with pRSVCAT, approximately 5% of cells were immunoreactive to the CAT protein with variable intensity of staining prior to selective pressure (e.g. after 24-48 hours). After selective pressure was applied, CAT positive cells are seen. At this stage 100% of cells are of this phenotype.

Figure 1 illustrates CAT bioactivity during and after the release of selective pressure in vitro. Astrocytes were transfected, maintained in selective medium for 3 weeks, and released from selective pressure for 3 more weeks. Transfected astrocytes were harvested at the time points indicated. The marked rise in CAT activity at 42 days was associated with a dramatic rise in the number of astrocyte cells per dish in the absence of selective pressure. Stably transfected astrocytes have been maintained in culture with selective pressure for over one year. These results indicate that stably transfected astrocytes can maintain expression of the RSVCAT gene

product for at least 3 weeks in vitro without selective pressure and can be maintained in culture for at least one year with selective pressure. This situation is similar to the absence of selective pressure that exists in vivo after short term transplantation.

#### Calcium Phosphate Transfection Protocol

Add DNA sequentially to 1 ml HeBS buffer [137 mM NaCl; 5 mM KCl; 0.7 mM  $\text{Na}_2\text{HPO}_4$ ; 6 mM dextrose; 21 mM HEBS (pH 7.1)] in snap cap sterile polypropylene tubes (12 x 75 mm; Falcon #2063). For stables (ratio 4/1 or 5/1), add 15  $\mu\text{g}$  of test plasmid in TE Buffer, then add 3.0  $\mu\text{g}$  pMCINeo PolyA in TE Buffer (Stratgene, Inc.) (or pRSVNeo) and mix. For transients, use 10-15  $\mu\text{g}$  of plasmid.

Then add 62.5  $\mu\text{l}$  of 2M  $\text{CaCl}_2$  and wait 30 minutes or less to allow fine crystals to form (tiny dots will be seen under a microscope, not clumps; excess time results in larger crystals which are less efficient in getting into the cells). During the crystal forming stage, wash culture plates with media minus serum two times (e.g. 1/2 vol of dish or about 5 ml) and aspirate to nearly dry. Note that plates were seeded on the previous day with  $10^6$  cells per 10 ml dish.

At 30 minutes, add 1.062 ml  $\text{CaPO}_4$ /DNA precipitate mix to the center of the plate on a level surface (avoid bubbles on the plate), and wait 30 minutes (swirl every 10 minutes to keep monolayer wet) at about 37°C for astrocytes. After 30 minutes, gently add 10 ml of complete media dropwise to slow stream to avoid dislodging cells.

At this point, wait 6 to 7 hours, then remove media until nearly dry. Glycerol shock cells by

adding 2 ml of HeBS Buffer (15% glycerol) per dish for 90 seconds (should kill approximately 75% of cells). Then aspirate off and wash by adding media minus serum (dropwise, e.g. 5 ml for 10 ml plate or 1/2 volume of plate); rotate plate to rinse corners. Aspirate media off again, and then add 10 ml of complete media (dropwise, gently) to the center of the plate. The following day add the G418 antibiotic (12-18 hours may be best) at a G418 final concentration of 300  $\mu$ g/ml (final) in HEPES. For example, add 100  $\mu$ l per 10 ml of 30 mg/ml G418 solution. To facilitate regrowth, release selection after 3 weeks (e.g. no more G418). Prior to release change media every 4-5 days. Replate when the cells are 90% confluent.

Transplant Protocol: All surgical procedures are performed aseptically under equithesin anesthesia (a mixture of chloral hydrate and sodium pentobarbital at 50/50 v/v), after placement of a small burr hole. Recipient rats received a 5  $\mu$ l injection of 30,000 to 500,000 cells in PBS with or without 33 mM glucose injected through a 10  $\mu$ l Hamilton microsyringe (18 or 25 Gauge needle). The needle is positioned stereotaxically into the left or right striatum and each injection is made over 3 minutes. Following injections, the needle was left in place for 1 minute before slow withdrawal. Sham grafts (negative controls) consisted of an equal volume of saline or untransfected astrocytes injected in the same manner.

CAT Assay: Tissue is harvested for assay of CAT enzyme activity by dissecting the brain region with the transplant (tissue block of 2 x 2 x 4 mm around transplant, a border of about 1-2 mm, approximately

50 mg tissue). Freeze on dry ice and pulverize in porcelin mortar on liquid nitrogen. Rinse fragments into Eppendorf with liquid nitrogen allowing it to evaporate on dry ice. Add 70  $\mu$ l of 0.25 M Tris (pH 7.8) and cycle to 37°C then -70°C three times. Recover a 50  $\mu$ l supernate aliquot (after centrifuging) into a clean tube. Then mix sequentially 34  $\mu$ l ddH<sub>2</sub>O, 70  $\mu$ l 1 M Tris (pH 7.8), 25  $\mu$ l extract, and 1  $\mu$ l of C<sup>14</sup>-chloramphenicol (0.1  $\mu$ Ci/tube). Pre-incubate tubes at 37°C for 5 minutes. Then add 20  $\mu$ l Acetyl CoA (4 mM, lithium salt) and incubate for 60 minutes at 37°C. Extract with 1 ml ethyl acetate by collecting upper organic layer (vortex 30 seconds, microcentrifuge 30 seconds). Dry, then resuspend in 25  $\mu$ l ethyl acetate, spot and separate on TLC (thin layer chromatography) plates (Chromagram #13179, Eastman Kodak - no fluorescence) in 95/5 v/v chloroform/methanol for two hours. Dry plates, coat with C14 enhancer (e.g. with Resolution by EM Corp.), allow to dry, and then expose autoradiograph for 2 days or longer (at -80°C with fluorescent screen) before analyzing by densitometer for quantitation, or scintillation counting for quantitation.

Figure 2 provides evidence that the CAT gene is expressed in the brain after transplant of stably selected transfected astrocytes. CAT activity was detected 3 weeks after transplantation of stably transfected astrocytes in the appropriate hemisphere. CAT enzyme activity was not affected by the presence of brain tissue in the extract.

Histology: Rats were perfused transcardially under deep equithesin anesthesia with 4% paraformaldehyde in 0.1 M phosphate buffer. Fixation was continued



for 2-24 hours, followed by cryoprotection in graded 10-30% sucrose in the same buffer, freezing on dry ice, and cryostat sectioning at 30  $\mu$ m. Coverslips were fixed in the same solution for 10 minutes or

5 methanol:acetone 1:1 for 2 minutes. Freefloating sections and coverslip were washed in 0.1M phosphate buffered saline pH 7.2-7.4 (PBS), treated with 0.2% TritonX-100 for 30 minutes. Primary antibodies were rabbit anti-chloramphenicol acetyltransferase (CAT)

10 antibody, 1:10 to 1:20,000 (5 Prime-3 Prime, Inc., Boulder, Colorado), Histogen GFAP monoclonal antibody (Biogenex Labs, San Ramon, California) and beta-Gal antibody, 1:500 to 1:2,000. Each was diluted in PBS containing 3% goat serum and 0.3% TritonX-100.

15 Antibody binding was visualized with Vectastain ABC (Vector Labs, Burlingame, California) and diaminobenzidine. Control sections were reacted with the primary antibody omitted or replaced with an unrelated antibody. Adjacent sections were mounted

20 serially and stained with cresyl violet.

#### Transient Transfection of Astrocytes For Rapid Drug Assay - Receptor Evaluation

Following transient transfection with plasmid

25 pENKAT12 [Comb et al. (1986)] without a Neo gene plasmid [Graham and Van der Eb, Virology 53:456-457 (1973); Weisinger et al., Oncogene 3:635-646 (1988)], astrocytes were treated with drugs (see below). On harvest, the cell lysates were assayed for CAT

30 expression (the transfected reporter gene, a bacterial gene not present in eukaryotes) [Gorman et al. (1982); Weisinger et al. (1988)]. Transfection efficiencies were standardized by Southern analysis of plasmid DNA in Hirt lysates [Hirt, J Mol Biol

35 26:365-369 (1967); Weisinger et al. (1988)].

To quantitate CAT activity, 20  $\mu$ l of each cell lysate was used to acetylate [ $^{14}$ C]chloramphenicol [Lopata et al., Nuc Acids Res 12:5707-5717 (1984); Weisinger et al. (1988)] (see protocol above).

5 Chloramphenicol and its acetylated derivatives were separated by ascending silica gel thin layer chromatography ( $\text{CHCl}_3:\text{CH}_3\text{OH}$ , 95:5 v:v), visualized by autoradiography [Weisinger et al. (1988)], and analyzed with a densitometer (see above details) or  
10 by scintillation counting of TLC spots.

For RNA analysis, total RNA was prepared by the acid guanidinium thiocyanate/phenol/chloroform method of Chomczynski and Sacchi [Chomczynski and Sacchi, Anal Biochem 162:156-159 (1987)], as modified  
15 [Weisinger et al., J Biol Chem 265:17389-17392 (1990); LaGamma et al. Molec Br Res 13:189-197 (1992)]. Total RNA was quantified by optical density and 10  $\mu$ g aliquots were fractionated on 1% glyoxal gels and transferred to Nytran (S&S) or nylon  
20 Biotrans (ICN) membranes. Northern blot prehybridization and hybridization solutions were as previously described [LaGamma et al. 1992]. Briefly, each RNA blot was hybridized at 45°C to a radiolabelled double stranded coding region fragment  
25 of ppEnk cDNA (pRPE2) or glyceraldehyde-3-phosphate dehydrogenase (pRGAPDH-13) for 24-48 hours. A PvuII digest of plasmid pRPE2 [Yoshikawa et al., J Biol Chem 259:14301-14308 (1984)] yielded a 435 bp exon 3 fragment, which was labelled with  $^{32}$ P-dCTP using  
30 random primer labelling kits (Prime-it; Stratagene). Blots were rehybridized to a PstI 1,085 bp fragment of pRGAPDH-13 [Piechaczyk et al., Nuc Acids Res 12:6951-6963 (1984)] as an RNA loading control. Following each hybridization, the blots were washed

at 60°C in 0.2X SSC/0.1% SDS for 30 minutes and again at 50°C and then autoradiographed.

Evaluation of drug treatments were performed after plasmid pENKAT12 [Comb et al. (1986)] was introduced into the cells. The day after the transient transfection, the cultures were treated with either dopaminergic or serotonergic drugs at various concentrations for a further 16-18 hours. Following drug treatment the cultures were then harvested, and cell extracts were made and assayed for both chloramphenicol acetyl transferase (CAT) activity and levels of transfected plasmid (Hirt lysates) as discussed above, or for endogenous RNA levels.

All drugs were made up in sterile PBS and then resterilized through Acrodisc13 (0.2 µm; GelmanSciences) and added to each 1.5 ml culture in a final volume of 0.1 ml. Dopamine-HCl, Apomorphine-HCl, SKF38393-R(+), Ly17155, SCH39166, s(-)- Sulpiride, Serotonin-HCl, 5-methoxytryptamine and Buspirone were purchased from Research Biochemicals Inc. (Massachusetts). In the combined drug experiments both drugs were added simultaneously and maintained for the entire 16-18 hours. Following harvesting and extraction, CAT assays were run (see above).

Autoradiograms were quantified by two dimensional scanning densitometry using a LKB 2400 Gelscan XL (Bromma, Sweden). Digitized data were analyzed with LKB Gelscan software (version 1.0) on an IBM AT computer, as previously described [Weisinger et al. (1990)]. Multiple autoradiogram exposures of the same experiments were analyzed so that band or spot intensities reported represented sub-saturation values. One-way analysis of variance

was performed on the data, followed by Newman-Keuls test, where appropriate [Zar, in Biostatistical Analysis, pp. 101-162, Prentice-Hall, New Jersey (1974)].

5

#### EXAMPLE 1

##### Construction of Plasmid pENKTH2

Referring to Figure 3, plasmid pENKAT12 (Comb et al. 1986) was restricted using HincII followed by  
10 NcoI. This linearized plasmid was then treated with bacterial alkaline phosphatase (BAP) twice, in order to remove the 5' phosphate and prevent future religation of the vector on itself. A 1900 base pair BamHI-HindIII DNA fragment containing the rat  
15 tyrosine hydroxylase from the prTH122 plasmid (supplied by Dr. K. O'Malley, Washington University, St. Louis, MO) after having its 5' overhangs flushed using the Klenow fragment of Escherichia coli polymerase, was ligated into the HincII backbone of  
20 the above linearized pENKAT12. pENKTH2 was the resultant form that allowed sense rat tyrosine hydroxylase transcription from the human preproenkephalin gene promoter.

##### Application of Plasmid pENKTH2

25 This vector will allow expression of the tyrosine hydroxylase gene product in astrocytes for use in animal models of Parkinson's disease or in human therapy for Parkinson's disease, where increased activity of this tyrosine hydroxylase  
30 enzyme can produce dopamine and alleviate functional deficits.

## EXAMPLE 2

Construction of Plasmid pENKHTH1

Referring to Figure 4, a 1784 base pair EcoRI fragment derived from pMV-7 [Horellou et al., Proc Natl Acad Sci USA 86:7233-7237 (1989)], containing the human tyrosine hydroxylase gene (HindIII-BstXI fragment) was isolated and had its EcoRI 5' overhangs flushed using the Klenow fragment of Escherichia coli polymerase. This fragment was then ligated into the HincII backbone of the above linearized pENKAT12. The correctly oriented form of this plasmid was selected such that sense transcription of the human tyrosine hydroxylase gene was generated following RNA initiation at the human preproenkephalin promoter. This plasmid was designated pENKHTH1.

Application of Plasmid pENKTH1

This vector differs from pENKTH2 only in that the human tyrosine hydroxylase (TH) gene is expressed. The usefulness of TH expression in Parkinson's therapy is similar to that discussed for plasmid pENKTH2 above.

## EXAMPLE 3

Construction of Plasmids pENKBASIC and pENKBASIC-B

Plasmids pENKBASIC and pENKBASIC-B had double stranded synthetic custom polylinkers with HincII ends ligated into the same HincII restricted, BAP treated pENKAT12 backbone used in the previous two constructs. Both polylinkers had 11 unique 6mer or better unique restriction enzyme recognition sites between two HincII sites. The pENKBASIC polylinker had the following set of restriction sites: HincII, KpnI, HpaI, BclI, XhoI, ClaI, StuI, BglII, NotI, XmaIII, SacII, BstXI, HincII. The pENKBASIC-B polylinker has the following set of restriction

sites: HincII, KpnI, HpaI, BclI, XhoI, SmaI/ApaI, PstI, BglII, NotI, PvuI, SacI, SphI, HincII. Each vector is designated with a "+" or "-" depended on the orientation of the polylinker, with respect to the preproenkephalin promoter (see Figures 5 and 6).

Application of Plasmids pENKBASIC and pENKBASIC-B

These generic vectors will allow any gene of interest to be expressed and regulated by the human enkephalin promoter. The polylinkers facilitate the insertion of any coding region sequence into the splice site.

EXAMPLE 4

Construction of Plasmid pGF8neo

Referring to Figure 7, the plasmid pSV<sub>2</sub>neo (commercially available from the ATCC - American Type Culture Collection, 12301 Parklawn Drive, Rockville, Maryland 20852 U.S.A.) was restricted with AccI and treated twice with BAP. AccI-HindIII adaptor fragments were ligated into the above linearized pSV<sub>2</sub>neo to make pSV<sub>2</sub>Hneo. This plasmid was then further restricted with HindIII and again treated twice with BAP. Into this linearized plasmid a 268 base pair GFAP promoter containing HindIII fragment was ligated. This GFAP fragment was HindIII restricted from the plasmid pGF8L [Miura et al., J Neurochem 55:1180-1188 (1990)]. Only the plasmid with the GFAP promoter driving a sense neo gene was designated pGF8neo.

Application of Plasmid pGF8neo

For an application of plasmid pGF8neo, see details below concerning the "poison pill".

## EXAMPLE 5

The effects of dopaminergic and serotonergic receptor agonists and antagonists in cultures of primary rat astrocytes were examined. Astrocytes were transiently transfected with a chimeric human preproenkephalin promoter (human ppEnk)-bacterial chloramphenicol acetyl transferase plasmid (pENKAT12 of Comb et al. [Comb et al., Nature 323:353-356 (1986)] and treated with different dopaminergic and serotonergic drugs. The resulting agonist induced effects were compared to the effects on the endogenous rat ppEnk gene (under control of the endogenous rat ppEnk promoter) in replicate cultures. The dopaminergic agonists were found to induce a response in the transfected pENKAT12 plasmid while serotonergic agonists did not. Furthermore, while there was a dopaminergic induction of expression of the transfected gene under control of the human ppEnk promoter, there was only a marginal effect on the induction of the endogenous rat ppEnk promoter.

Dose response curves for the effect of dopaminergic agonists on the inducibility of pENKAT12 in cultured rat astrocytes was generated using the above methods, as shown in Figures 8-11. Dopamine and apomorphine have both D1 and D2 receptor agonist activities [Kebabian and Calne, Nature 277:93-96 (1979)] and they both induce episomal pENKAT12 plasmid expression (under control of the human ppEnk promoter) about 19 fold when present at  $10^{-5}$  Molar (Figures 8 and 9). SKF38393-R(+) (Figure 10) is a D1 agonist and LY17155 (Figure 11) is a D2 agonist.

Additionally, the responsiveness of the transfected cultures to serotonergic (5HT) agonists was assessed. Cultured primary astrocytes have been reported to have functional 5HT receptors [Hertz et

al., Can J Physiol Pharmacol 57:223-226 (1979); Hosli and Hosli, Neurosci Lett. 65:177-182 (1986); Hansson, Progr in Neurobiol 30:369-397 (1988); Whitaker-Azmitia et al., Brain Res 528:155-158 (1990)] that  
5 can be induced to increase c-AMP levels in these glial cells [Hertz et al. (1979); Hosli and Hosli, J Physiol 82:191-195 (1987); Hansson et al., Neurochem Res 9:679-689 (1984); Whitaker-Azmitia, in Glial Cell Receptors, pp. 107-120, ed. Kimelberg, Raven Press,  
10 New York (1988)]. Astrocytes were treated with either of three serotonergic agonists, serotonin, 5-methoxytryptamine and buspirone, at the same concentration as the dopaminergic agonists.

Serotonergic agonist treatments showed no  
15 significant changes in transfected CAT expression. In these studies, dopamine (10  $\mu$ M) treatments of transfected astrocyte cultures were performed in parallel as positive controls.

Figure 12 illustrates that the dopaminergic  
20 receptor subtypes interact to regulate transfected primary rat astrocytes. Dopamine alone induced the ppEnk gene and its effects are blocked by appropriate agents. Groups of 6 to 9 dishes were analyzed and data reported as X  $\pm$  SEM. Comparisons were made by  
25 ANOVA followed by Neuman-Keuls test: \* p < 0.005 vs all other groups; \*\* p < 0.02 vs all other groups except D1 agonist, D2 agonist, and D1 + D2 agonist groups; + p < 0.001 from dopamine alone as are the vehicles and both blockers alone. All drugs were  
30 used at 10  $\mu$ M for 16 hours. D1 Agonist is SKF38393-R(+); D1 Blocker is SCH39166; D2 Agonist is LY17155; and D2 Blocker is S(-)-Sulpiride.



## Regulation of the Endogenous ppENK gene:

Promoter Comparison

To determine whether the signal transduction pathway involved with the induction of the transfected human ppEnk promoter is relevant to the regulation of the endogenous rat ppEnk gene, northern blot analysis was performed in parallel experiments. The northern data showed that the endogenous rat ppEnk promoter was only marginally induced 2.7 fold (compared to the transfected human exogenous ppEnk promoter) by dopamine (10  $\mu$ M) (Figure 13,  $p=0.05$ ) over the untreated control. This indicates the predominant effect of drug treatment is on the transfected gene.

This highlights a difference between the transfected human ppEnk promoter versus the endogenous rat ppEnk promoter in the same cell background after similar treatments.

These results demonstrate that the human ppEnk promoter transfected into "normal" primary striatal astrocytes can be induced with dopaminergic agonists. Based on these results, one concludes that L-DOPA, MAO inhibitors, or cholinergic pathway modifiers could be used to induce an engineered ppEnk promoter driven gene of interest (e.g. growth hormones or tyrosine hydroxylase gene) and to control local synthesis of the transfected gene product by dopaminergic pathways. Benefits like this are not currently available from other inducible promoters like the metallothionein [Hamer and Walling, J Mol Appl Genet 1:273-288 (1982)] or the Mouse Mammary Tumor Virus (MMTV) [Yamamoto, in Molecular Developmental Biology: Expressing Foreign Genes, pp. 131-148, ed. Bogorad and Adelman, Alan Liss, New York (1985)] promoters, as the former promoter is induced

by heavy metals and the latter by high dose glucocorticoid hormones. The induction of both of these latter promoters in animals would involve toxic treatments or hormonal side effects and hence may not  
5 be useful in man. No other inducible promoters have been reported as functional in cells transplanted into the CNS.

In vivo Regulation of the human ppEnk promoter by  
10 Dopaminergic Pathways

To determine the extend of dopaminergic influence on basal levels of ppEnk promoter driven CAT activity, animals were unilaterally lesioned with 6-OHD injections into the Substantia Nigra. After  
15 establishing abnormal rotational behavior in these rats (Ungerstadt model of Parkinson's Disease), transiently transfected astrocytes (16-18 hours following transfection) were transplanted (500,000 cells/site) into the lesioned or contralateral  
20 striatum. Animals were treated with the combined dopaminergic agonist Apomorphine (0.3 mg/kg, ip, QID X4 doses), for 24 hours after transplantation and then sacrificed. The excised transplant-containing tissue blocks were assayed for CAT activity. ppEnk  
25 driven CAT activity was significantly ( $p < 0.05$ ) lower in all lesioned striata and was further reduced by apomorphine treatment ( $p < 0.05$ ). These data confirm the role of basal levels of dopaminergic input in maintaining high levels of expression of the  
30 transfected gene in the innervated striatum (see Figure 2). The apomorphine experiments indicate a pharmacologically induced down regulation of the ppEnk promoter, in vivo, therefore demonstrating control of an inserted gene in transplanted primary  
35 cells.

Poison Pill - Herpesvirus Thymidine Kinase

Principle advantages of astrocytes over other cell vehicles are their migratory capacity after transplantation, their regional specificity, and an ability to divide in culture (in vitro). As a result of these properties, and as a safeguard against the possibility of the transplanted cells growing out of hand during in vivo therapy, the invention provides a "poison pill" strategy which will render only transplanted cells susceptible to a pharmacologic agent. Cells modified (for example, using the above methods) to contain the herpes simplex thymidine kinase (HS-TK) gene become sensitive to treatment with the FDA-approved antiviral drugs gancyclovir and acyclovir [Moolten, Cancer Res 46:5276 (1986); Borrelli et al., Proc Natl Acad Sci USA 85:7572 (1988); Moolten and Wells, J Natl Cancer Inst 82:297 (1990); Ezzeddine et al., Neu Biol 3:608 (1991)]. Alternate methods for destroying unwanted transplanted cells would include genetically modifying astrocytes to express the bacterial enzyme cytosine deaminase which converts the generally non-toxic FDA-approved compound 5-fluorocytosine into the toxic product 5-fluorouracil, that will kill the genetically modified cells only [Mullen et al., Proc Natl Acad Sci USA 89:33 (1992)]. This can be most readily accomplished using the methodology of the subject invention by creating a plasmid vector containing a constitutive promoter (e.g. thymidine kinase or RSV as done with the CAT gene) driving a HS-TK reporter/product on the same sequence as the astrocyte-specific promoter GFAP driving a neomycin (G418) selection gene.

The G418 gene allows selective pressure in vitro and the TK poison pill gene allows selective

destruction with drugs in vivo. Neither of these approaches will alter the effects of the preceding sections where genetically modified astrocytes express other biologically active compounds. A  
5 simpler version of an astrocyte-specific selective pressure plasmid is illustrated in Figure 7 (pGF8neo).

Although preferred embodiments have been  
10 depicted and described in detail herein, it will be apparent to those skilled in the relevant art that various modifications, additions, substitutions and the like can be made without departing from the spirit of the invention and these are therefore  
15 considered to be within the scope of the invention as defined in the following claims.

What is Claimed is:

- 1           1.    A genetically modified astrocyte for gene  
2    therapy, said genetically modified astrocyte  
3    comprising:  
4            one or more DNA sequences selected from the  
5    group consisting of DNA encoding a selectable marker,  
6    DNA encoding a poison pill, and DNA encoding a  
7    molecule useful for gene therapy; and  
8            suitable regulatory elements for controlling  
9    expression of said one or more DNA sequences.
  
- 1           2.    The genetically modified astrocyte of claim  
2    1 wherein said selectable marker comprises neomycin  
3    resistance.
  
- 1           3.    The genetically modified astrocyte of claim  
2    1 wherein said selectable marker comprises  
3    methotrexate resistance.
  
- 1           4.    The genetically modified astrocyte of claim  
2    1 wherein said poison pill comprises herpes virus  
3    thymidine kinase.
  
- 1           5.    The genetically modified astrocyte of claim  
2    1 wherein expression of said DNA encoding said  
3    molecule useful for gene therapy results in the  
4    production of a protein.
  
- 1           6.    The genetically modified astrocyte of claim  
2    1 wherein expression of said DNA encoding said  
3    molecule useful for gene therapy results in the  
4    production of anti-sense RNA.

1           7.    The genetically modified astrocyte of claim  
2    1 wherein expression of said DNA encoding said  
3    molecule useful for gene therapy results in the  
4    production of a ribozyme.

1           8.    The genetically modified astrocyte of claim  
2    5 wherein said protein comprises a growth factor.

1           9.    The genetically modified astrocyte of claim  
2    8 wherein said growth factor comprises a cytokine.

1           10.   The genetically modified astrocyte of claim  
2    5 wherein said protein comprises tyrosine  
3    hydroxylase.

1           11.   The genetically modified astrocyte of claim  
2    1 wherein said suitable regulatory elements include a  
3    regulatable promoter.

1           12.   The genetically modified astrocyte of claim  
2    11 wherein said regulatable promoter comprises an  
3    inducible promoter.

1           13.   The genetically modified astrocyte of claim  
2    12 wherein said inducible promoter comprises a human  
3    preproenkephalin promoter.

1           14.   The genetically modified astrocyte of claim  
2    11 wherein said regulatable promoter comprises a  
3    constitutive promoter.

1           15.   The genetically modified astrocyte of claim  
2    1 wherein said suitable regulatory elements include  
3    an astrocyte-specific promoter.

1           16. The genetically modified astrocyte of claim  
2 15 wherein said astrocyte-specific promoter comprises  
3 a promoter for glial fibrillary acidic protein.

1           17. An astrocyte cell line comprising the  
2 genetically modified astrocyte of claim 1.

1           18. A plasmid for transfection of astrocytes  
2 which plasmid comprises DNA encoding a molecule  
3 useful for gene therapy and suitable regulatory  
4 elements for controlling expression of said molecule  
5 useful for gene therapy.

1           19. A plasmid for transfection of astrocytes  
2 which plasmid comprises DNA encoding a selectable  
3 marker and suitable regulatory elements for  
4 controlling expression of said selectable marker.

1           20. The plasmid of claim 19 further comprising  
2 DNA encoding a poison pill and further suitable  
3 regulatory elements for controlling expression of  
4 said poison pill.

1           21. A plasmid for transfection of astrocytes  
2 which plasmid comprises DNA encoding a poison pill  
3 and suitable regulatory elements for controlling  
4 expression of said poison pill.

1           22. An astrocyte stably transfected with one or  
2 more plasmids, said one or more plasmids selected  
3 from the group consisting of:

4           a plasmid comprising DNA encoding a molecule  
5 useful for gene therapy and suitable regulatory  
6 elements for controlling expression of said molecule  
7 useful for gene therapy;

---

8           a plasmid comprising DNA encoding a selectable  
9 marker and suitable regulatory elements for  
10 controlling expression of said selectable marker;  
11           a plasmid comprising DNA encoding a selectable  
12 marker and suitable regulatory elements for  
13 controlling expression of said selectable marker, and  
14 further comprising DNA encoding a poison pill and  
15 further suitable regulatory elements for controlling  
16 expression of said poison pill; and  
17           a plasmid comprising DNA encoding a poison pill  
18 and suitable regulatory elements for controlling  
19 expression of said poison pill.

1           23. A method of stably transfecting primary  
2 cells, said method comprising stably transfecting  
3 said primary cells using non-viral transfection  
4 methods.

1           24. The method of claim 23 wherein said non-  
2 viral transfection method comprises chemical  
3 transfection.

1           25. The method of claim 24 wherein said  
2 chemical transfection comprises stable calcium  
3 phosphate transfection.

1           26. The method of claim 23 wherein said non-  
2 viral transfections method comprises electroporation.

1           27. The method of claim 23 wherein said primary  
2 cells comprise astrocytes.

1           28. A method for gene therapy in the central  
2 nervous system of a subject which method comprises:



3           genetically modifying primary cells to include  
4   DNA encoding a molecule useful for gene therapy in  
5   the central nervous system;  
6           transplanting said genetically modified primary  
7   cells into the central nervous system of a subject;  
8   and  
9           expressing said DNA encoding said molecule,  
10   thereby producing said molecule for gene therapy in  
11   the central nervous system of the subject.

1           29. The method of claim 28 wherein said primary  
2   cells comprise astrocytes.

1           30. The method of claim 29 wherein said  
2   astrocytes are genetically modified by a non-viral  
3   transfection method.

1           31. The method of claim 30 wherein said non-  
2   viral transfection method comprises chemical  
3   transfection.

1           32. The method of claim 31 wherein said  
2   chemical transfection comprises stable calcium  
3   phosphate transfection.

1           33. The method of claim 28 wherein said  
2   expression of said DNA is controlled by a regulatable  
3   promoter.

1           34. The method of claim 33 wherein said  
2   regulatable promoter is controlled pharmacologically.

1           35. The method of claim 34 wherein said  
2   pharmacologic control comprises utilizing  
3   dopaminergic pathways.

1           36. The method of claim 33 wherein said  
2 regulatable promoter comprises an inducible promoter.

1           37. The method of claim 33 wherein said  
2 regulatable promoter comprises a constitutive  
3 promoter.

1           38. A method of maintaining and growing  
2 astrocytes in culture, said method comprising:  
3           growing first astrocytes with a liquid medium  
4 overlying said first astrocytes so as to condition  
5 said liquid medium;  
6           removing said conditioned liquid medium; and  
7           placing said removed conditioned liquid medium  
8 over second astrocytes, said removed conditioned  
9 liquid medium capable of maintaining and growing said  
10 second astrocytes in culture.

1           39. A method of selecting for astrocytes in a  
2 mixed cell population, said method comprising:  
3           stably transfecting a mixed cell population with  
4 an astrocyte-specific plasmid, said astrocyte-  
5 specific plasmid comprising DNA encoding a selectable  
6 marker and suitable regulatory elements for  
7 controlling expression of said selectable marker;  
8           growing said transfected mixed cell population  
9 under selective conditions, wherein said astrocyte-  
10 specific promoter functions only in transfected  
11 astrocytes present in said transfected mixed cell  
12 population, such that only transfected astrocytes  
13 present in said transfected mixed cell population can  
14 be selected under said selective conditions using  
15 said selectable marker under control of said  
16 astrocyte-specific promoter; and

17           selecting said astrocytes from said mixed cell  
18   population.

1           40. The method of claim 39 wherein said  
2   astrocyte-specific promoter comprises a promoter for  
3   glial fibrillary acidic protein.

1           41. The method of claim 39 wherein said  
2   selective marker comprises neomycin resistance.

1           42. The method of claim 39 wherein said  
2   selective marker comprises methotrexate resistance.

1           43. The method of claim 41 wherein said  
2   selective conditions include exposing said  
3   transfected mixed cell population to a neomycin  
4   analogue.

1           44. The method of claim 43 wherein said  
2   neomycin analogue comprises G418.

1           45. The method of claim 42 wherein said  
2   selective conditions include exposing said  
3   transfected mixed cell population to methotrexate.

1           46. A method of expressing a biologically  
2   active molecule in an astrocyte of a subject which  
3   method comprises:  
4       obtaining a sample of an astrocyte;  
5       stably inserting DNA encoding a biologically  
6   active molecule into DNA of said astrocyte;  
7       transplanting said resulting astrocyte into a  
8   subject; and  
9       expressing said biologically active molecule in  
10   said astrocyte in said subject.

1           47. The method of claim 46 wherein said  
2 biologically active molecule is selected from the  
3 group consisting of a protein, antisense RNA, and a  
4 ribozyme.

1           48. The method of claim 46 wherein said sample  
2 of an astrocyte is obtained by removing astrocytes  
3 from said subject.

1           49. The method of claim 46 wherein said stable  
2 insertion comprises a non-viral transfection method.

1           50. The method of claim 46 wherein said  
2 expression of said biologically active molecule is  
3 under control of a regulatable promoter.

1           51. The method of claim 50 wherein said  
2 regulatable promoter comprises an inducible promoter.

1           52. The method of claim 50 wherein said  
2 regulatable promoter comprises a constitutive  
3 promoter.

1           53. A method of killing astrocytes in a  
2 subject, said method comprising:  
3           obtaining a sample of astrocytes;  
4           stably transfecting said astrocytes with a  
5 plasmid, said plasmid comprising DNA encoding a  
6 poison pill and suitable regulatory elements for  
7 controlling expression of said poison pill;  
8           transplanting said transfected astrocytes into a  
9 subject; and  
10          exposing said transplanted transfected  
11 astrocytes to a selective condition, wherein said  
12 suitable regulatory elements cause expression of said

13 DNA encoding said poison pill only in said  
14 transplanted transfected astrocytes present in said  
15 subject such that only said transplanted transfected  
16 astrocytes present in said subject are killed by said  
17 selective condition due to said expression of said  
18 DNA encoding said poison pill under control of said  
19 astrocyte-specific promoter.

1 54. The method of claim 53 wherein said poison  
2 pill comprises herpes virus thymidine kinase.

1 55. The method of claim 54 wherein said  
2 exposure to a selective condition comprises exposure  
3 to a drug selected from the group consisting of  
4 acyclovir and gancyclovir.

1 56. A method of preventing deterioration of  
2 phenotypically normal cells in a subject which  
3 comprises:  
4 detecting a genotype indicative of an eventual  
5 phenotypic abnormality in said normal cells;  
6 treating said normal cell with the genetically  
7 modified astrocyte of claim 1 so as to prevent said  
8 phenotypic abnormality, said prevention being by  
9 expression of said DNA encoding said molecule useful  
10 for gene therapy by said genetically modified  
11 astrocyte.

1 57. The method of claim 56 wherein said  
2 phenotypic abnormality is indicative of Huntingtons  
3 disease.

1 58. An astrocyte maintained and grown by the  
2 method of claim 38.

1           59. An astrocyte selected by the method of  
2 claim 39.

1           60. A kit for gene therapy comprising the  
2 genetically modified astrocyte of claim 1.

1           61. A kit for gene therapy comprising the  
2 genetically modified astrocyte of claim 17.

1           62. A kit for gene therapy comprising one or  
2 more plasmids, said one or more plasmids selected  
3 from the group consisting of:

4           a plasmid comprising DNA encoding a molecule  
5 useful for gene therapy and suitable regulatory  
6 elements for controlling expression of said molecule  
7 useful for gene therapy;

8           a plasmid comprising DNA encoding a selectable  
9 marker and suitable regulatory elements for  
10 controlling expression of said selectable marker;

11          a plasmid comprising DNA encoding a selectable  
12 marker and suitable regulatory elements for  
13 controlling expression of said selectable marker, and  
14 further comprising DNA encoding a poison pill and  
15 further suitable regulatory elements for controlling  
16 expression of said poison pill; and

17          a plasmid comprising DNA encoding a poison pill  
18 and suitable regulatory elements for controlling  
19 expression of said poison pill.

1           63. The kit of claim 62 further comprising  
2 astrocytes to be transfected with said one or more  
3 plasmids.

1           64. A kit for gene therapy comprising:

2           a plasmid vector having a polylinker site for  
3   insertion of DNA encoding a gene of interest;  
4           restriction enzymes for inserting said DNA at  
5   said site; and  
6           the astrocyte of claim 58 to be transfected by  
7   the plasmid vector after insertion of said DNA into  
8   said plasmid vector.

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FIG. 2

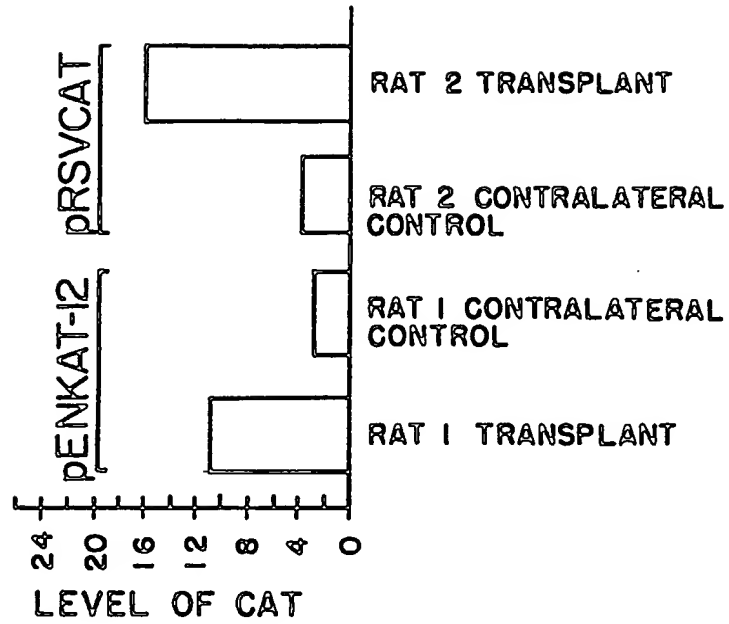
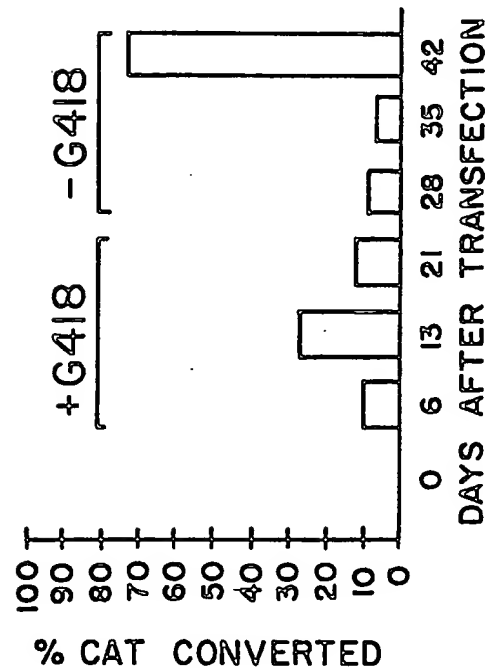


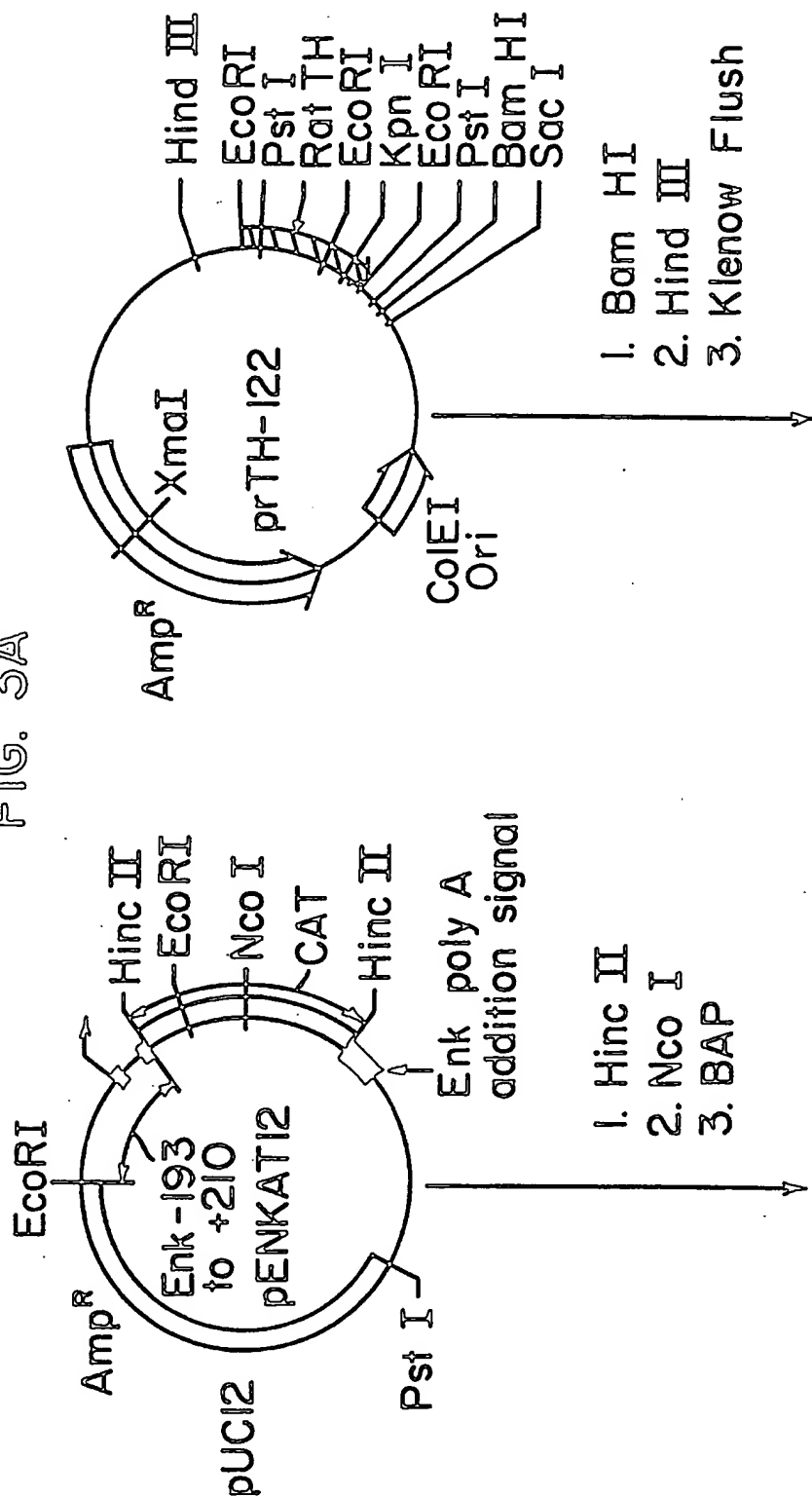
FIG. 1





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FIG. 3A



TO  
FIG. 3B

3/14

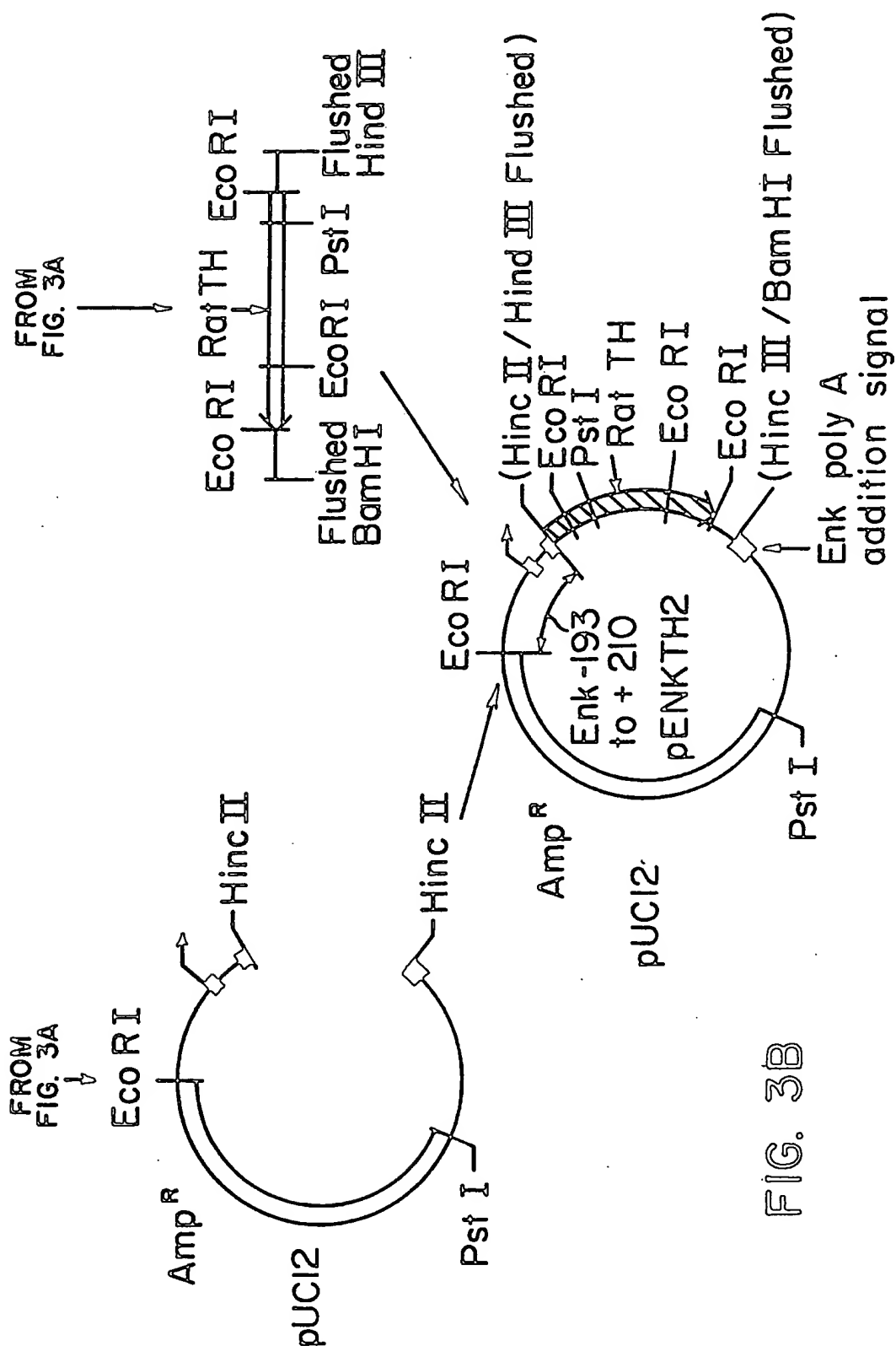


FIG. 3B

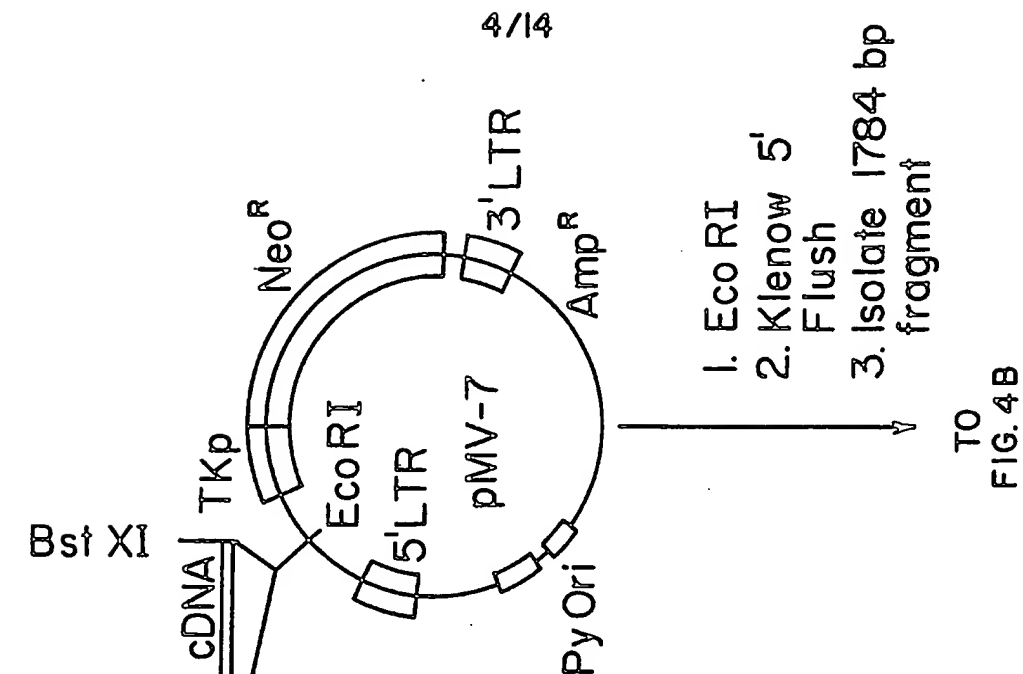
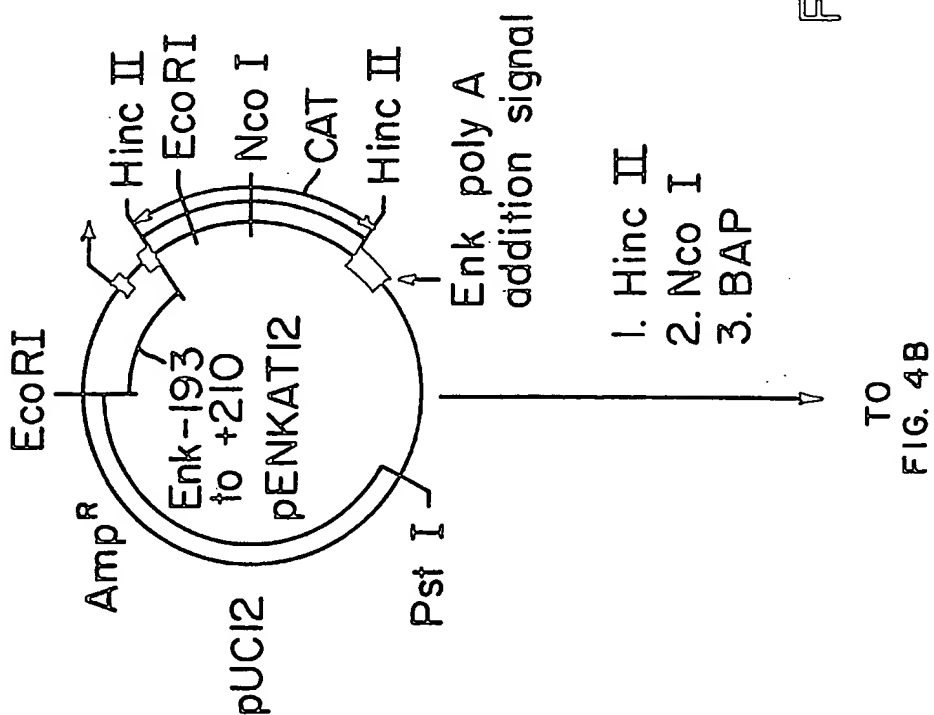


FIG. 4A



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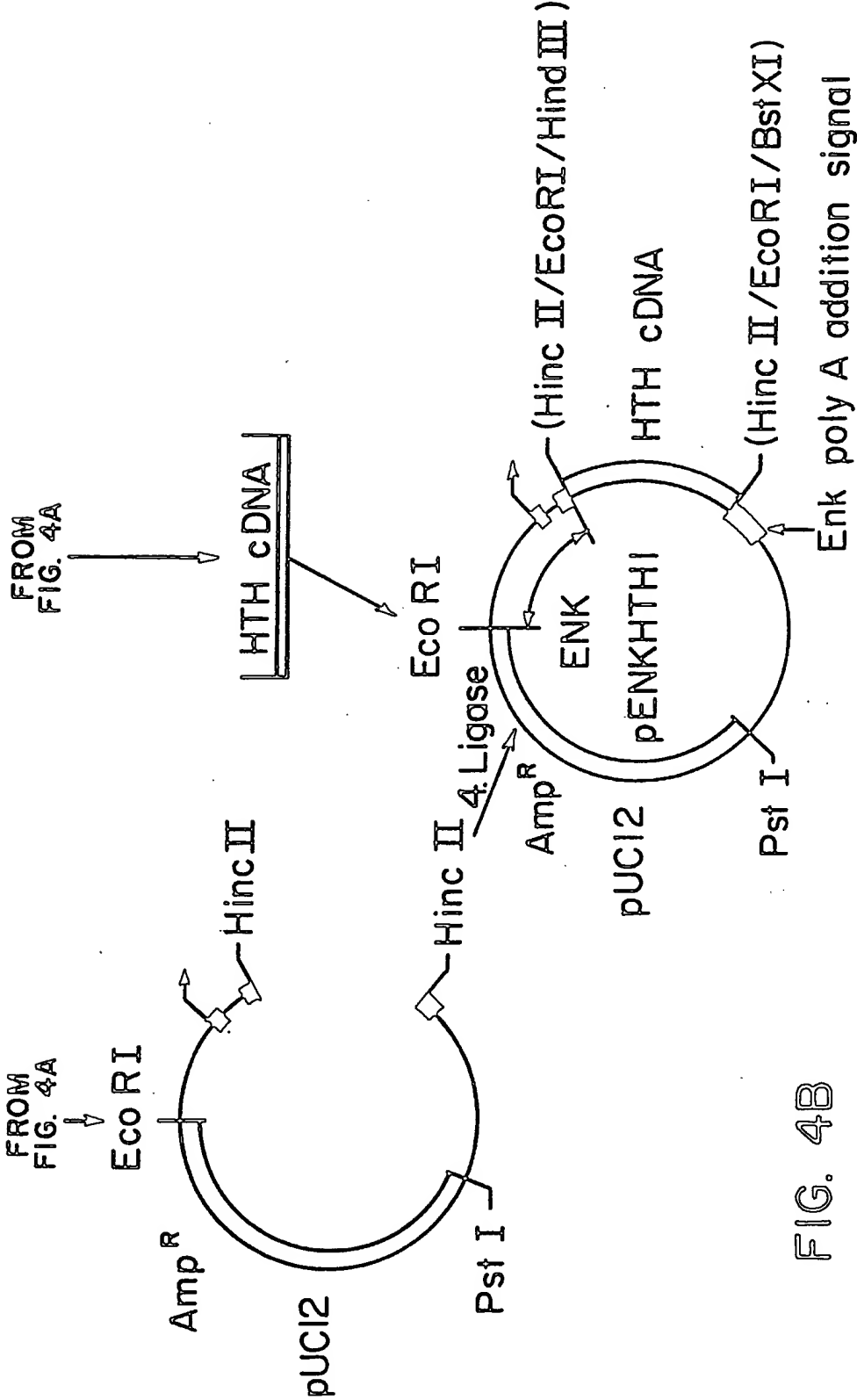
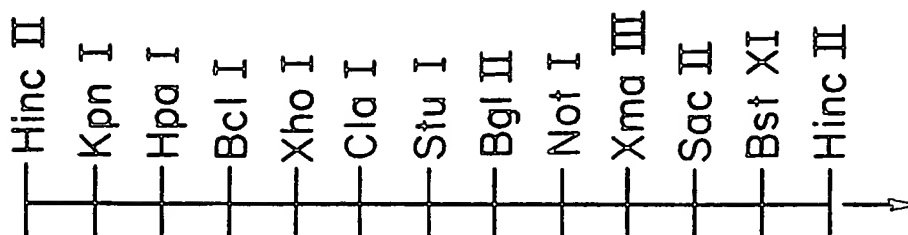


FIG. 4B

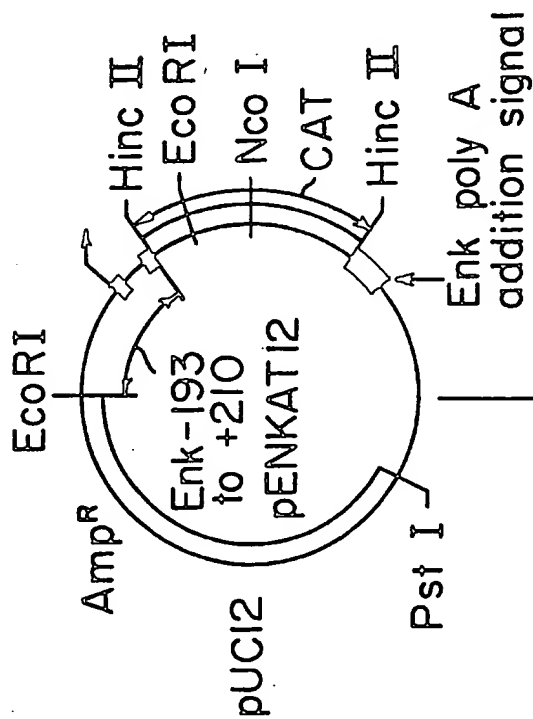
6/14

Synthetic Polylinker



TO  
FIG. 5B

FIG. 5A



1. Hinc II
2. Nco I
3. BAP

TO  
FIG. 5B

SUBSTITUTE SHEET

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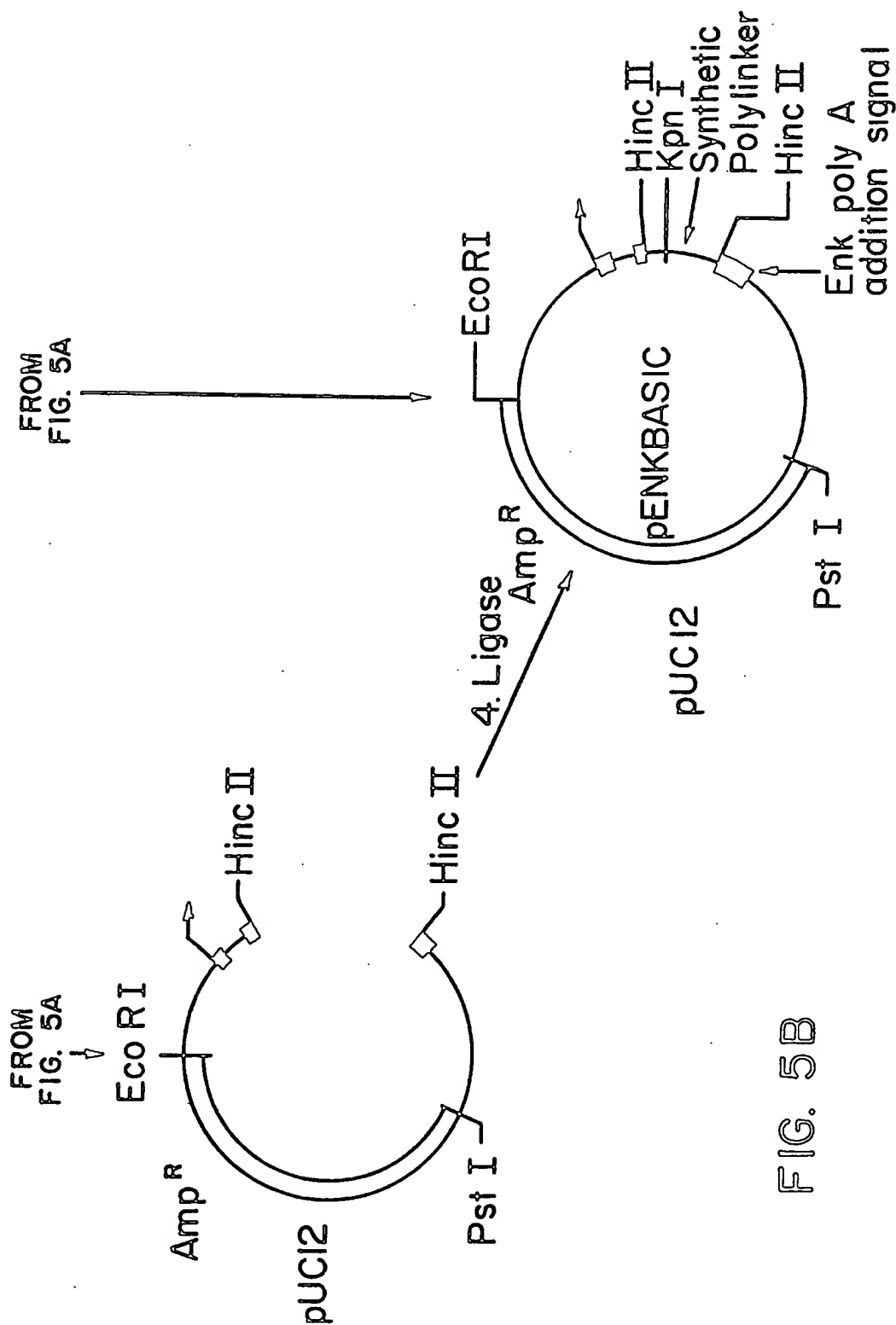


FIG. 5B

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FIG. 6A

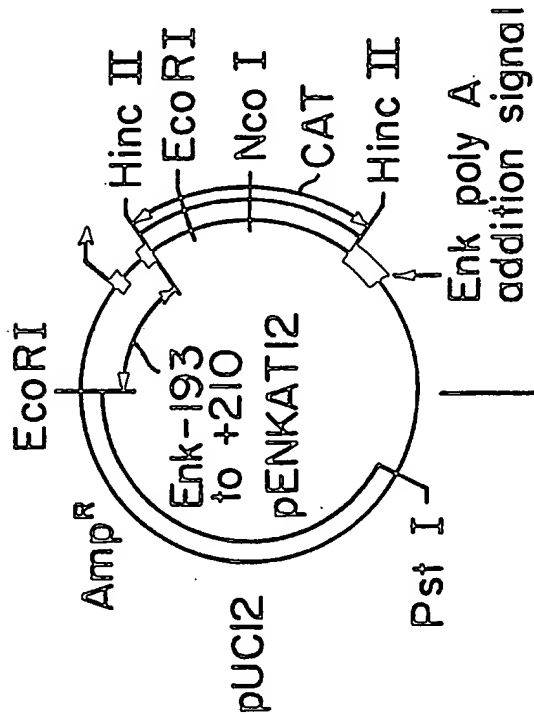
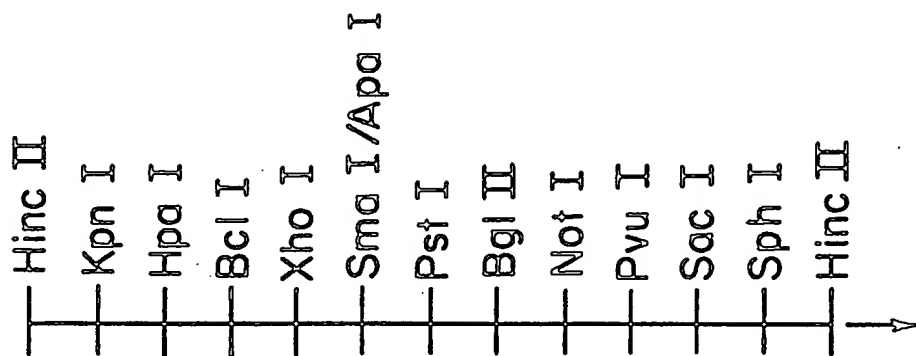


FIG. 6B



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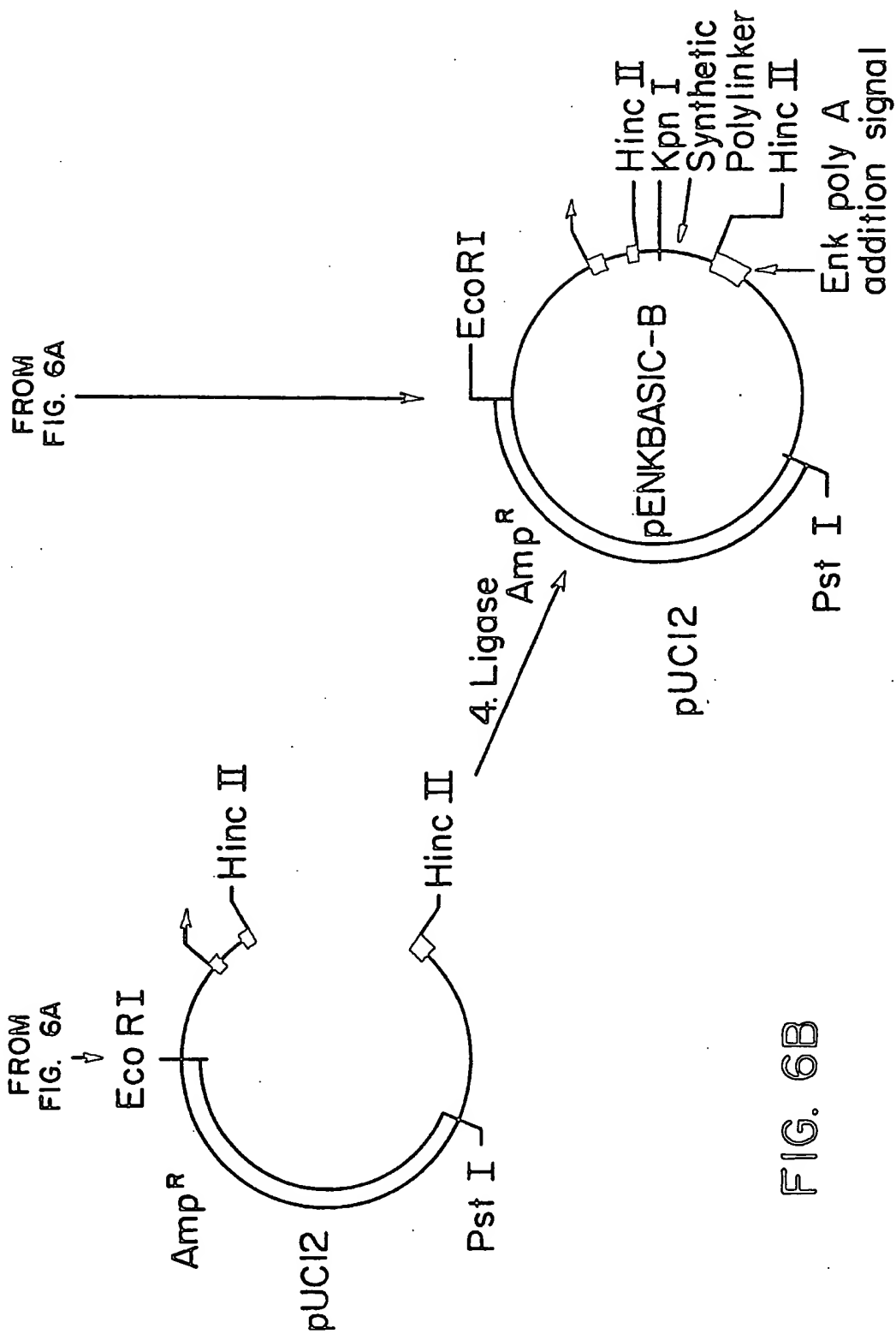
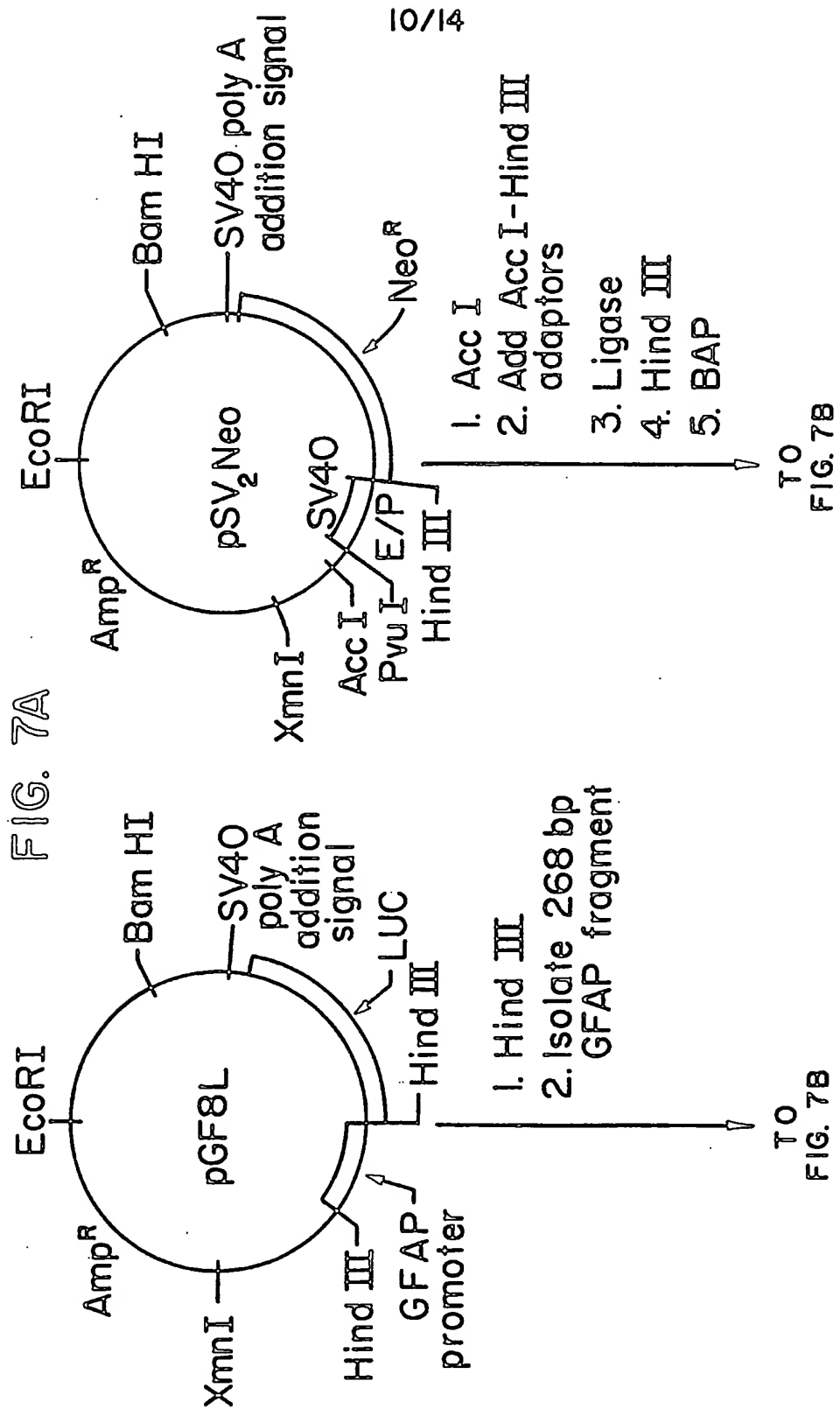


FIG. 6B





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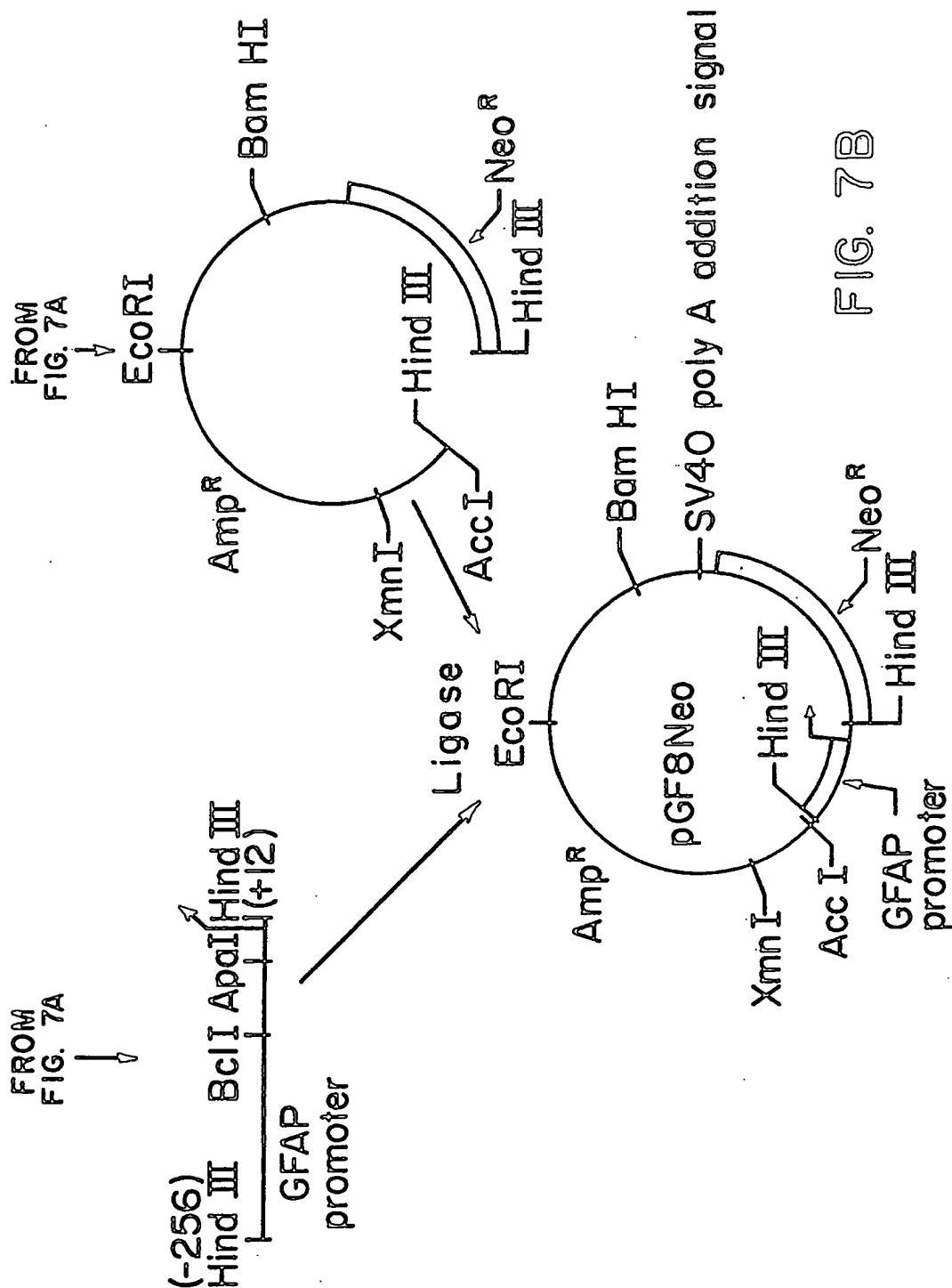


FIG. 7B

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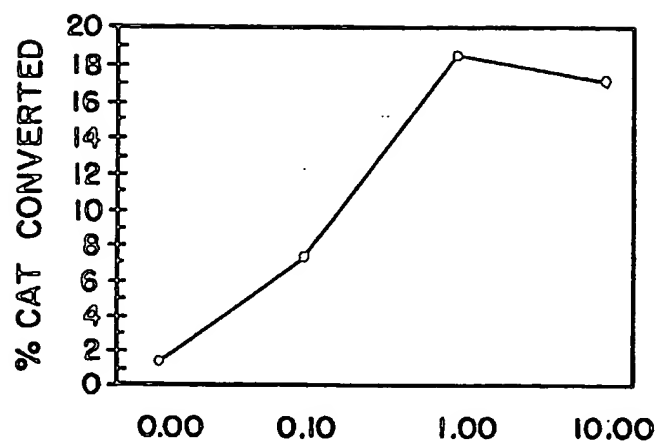


FIG. 8

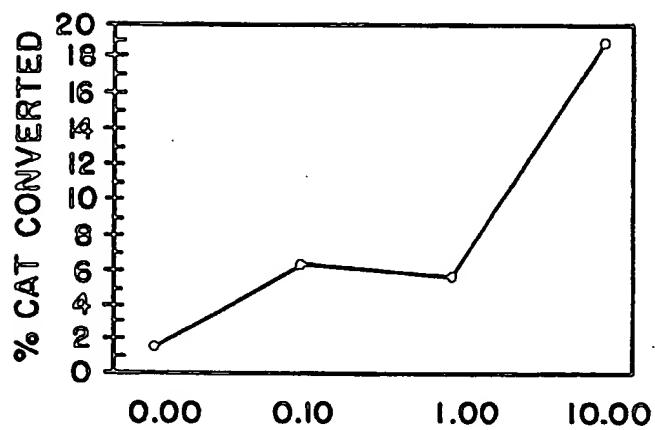


FIG. 9

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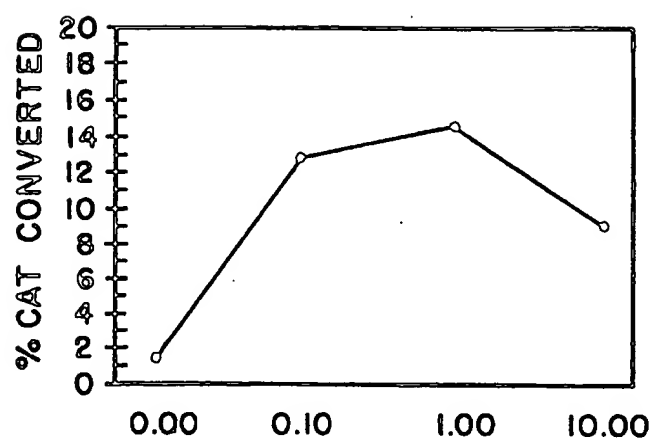


FIG. 10

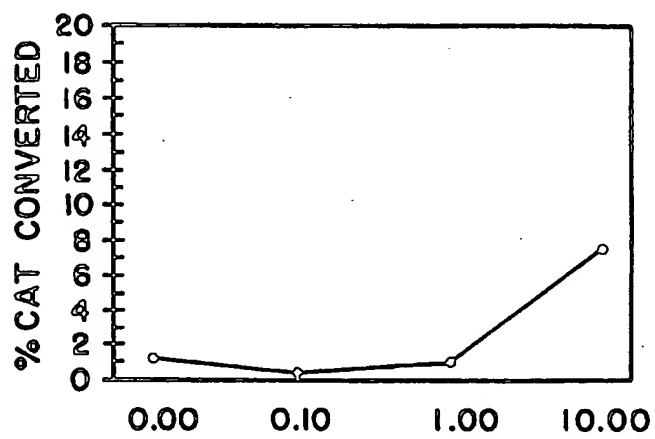


FIG. 11

FIG. 12

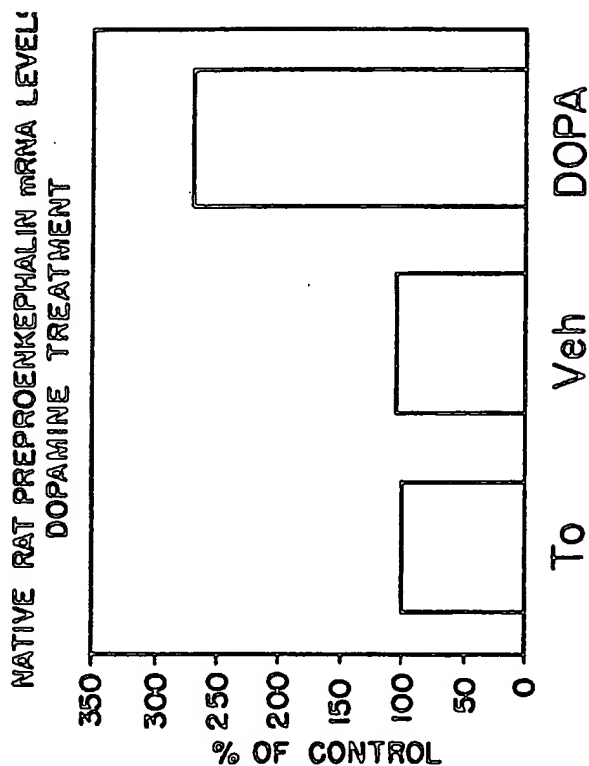
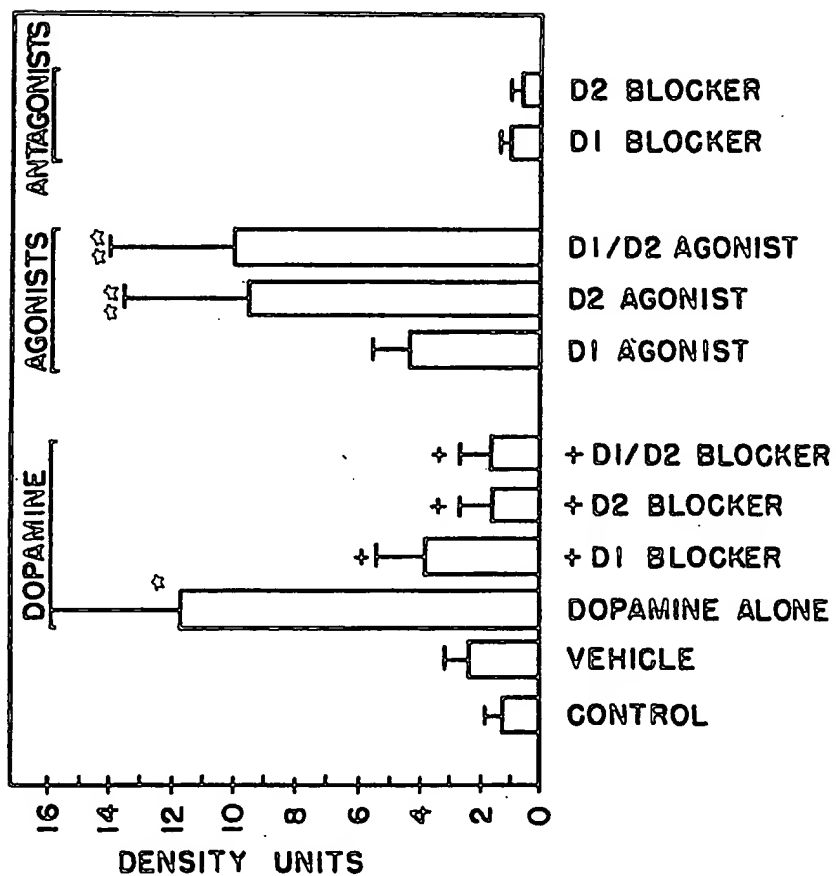


FIG. 13

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US93/06341

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) : A61K 45/00, 35/30; C12N 5/00, 15/00, 15/85; C07H 15/12, 17/00

US CL : Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/93B; 435/240.2, 240.21, 240.3, 320.1; 536/24.1, 24.5, 23.1, 23.2; 935/6, 9, 33, 34

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
Please See Extra Sheet.

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	Progress in Brain Research, Volume 82, issued 1990, F. H. Gage et al., "Grafting Genetically Modified Cells to the Brain: Conceptual and Technical Issues", pages 1-10, especially pages 6-8.	1-64
Y	Brain Research, Volume 447, issued 03 May 1988, C. J. Emmett et al., "Visualization of Migration of Transplanted Astrocytes Using Polystyrene Microspheres", pages 223-233, especially pages 224-224.	1-64
Y	Neuron, Volume 6, issued January 1991, F. H. Gage et al., "Intracerebral Grafting: A Tool for the Neurobiologist", pages 1-12, especially pages 4-8.	1-64

☒ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

* Special categories of cited documents:	* T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
* A* document defining the general state of the art which is not considered to be part of particular relevance	* X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
* E* earlier document published on or after the international filing date	* Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
* L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	* &*	document member of the same patent family
* O* document referring to an oral disclosure, use, exhibition or other means		
* P* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

04 August 1993

Date of mailing of the international search report

23 AUG 1993

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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US93/06341

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	Scientific American, Volume 260, issued April 1989, H. K. Kimelberg et al., "Astrocytes", pages 66-76, especially pages 69-71.	1-64
Y	DNA, Volume 5, Number 6, issued 1986, K. H. Choo et al., "Vectors for Expression and Amplification of cDNA in Mammalian Cells: Expression of Rat Phenylalanine Hydroxylase", pages 529-537, especially page 530.	1-64
Y	Nature, Volume 323, issued 25 September 1986, M. Comb et al., "A Cyclic AMP- and Phorbol Ester-Inducible DNA Element", pages 353-357, especially page 356.	11-13, 33-36, 50-51
Y	Neuroscience, Volume 25, Number 2, issued 1988, B. J. Morris et al., "Dopaminergic Regulation of Striatal Proenkephalin mRNA and Prodynorphin mRNA: Contrasting Effects of D1 and D2 Antagonists", pages 525-532, especially pages 527-529.	11-13, 33-36, 50-51
Y	Journal of Neurochemistry, Volume 55, Number 4, issued 1990, M. Miura et al., "Cell-Specific Expression of the Mouse Glial Fibrillary Acidic Protein Gene: Identification of the <u>Cis</u> - and <u>Trans</u> -Acting Promoter Elements for Astrocyte-Specific Expression", pages 1180-1188, especially page 1182.	4, 14-16, 20, 21, 39-45, 53, 55, 59
Y	Gene, Volume 72, issued 1988, M. Inouye, "Antisense RNA: Its Functions and Applications in Gene Regulation - A Review", pages 25-34, especially page 29.	6
Y	Science, Volume 247, issued 09 March 1990, N. Sarver et al., "Ribozymes as Potential Anti-HIV-1 Therapeutic Agents", pages 1222-1225, especially page 1225.	7
Y	Cancer Research, Volume 46, issued October 1986, F. L. Moolten, "Tumor Chemosensitivity Conferred by Inserted Herpes Thymidine Kinase Genes: Paradigm for a Prospective Cancer Control Strategy", pages 5276-5281, especially pages 5278-5280.	4, 20, 21, 53, 55
Y	J. Sambrook, E. F. Fritsch, and T. Maniatis (editors), "Molecular Cloning, A Laboratory Manual", Second Edition, published 1989 by Cold Spring Harbor Laboratory Press (Cold Spring Harbor, NY), pages 16.39-16.40 and 16.54-16.55, see entire document.	23-27, 30-32, 49
Y	Endocrinology, Volume 129, Number 2, issued 1991, J. A. Olson et al., "Developmental Expression of Rat Insulin-Like Growth Factor Binding Protein-2 by Astrocytic Glial Cells in Culture", pages 1066-1074, especially pages 1066-1067 and 1072.	38, 58

INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/06341

A. CLASSIFICATION OF SUBJECT MATTER:

US CL :

424/93B; 435/240.2, 240.21, 240.3, 320.1; 536/24.1, 24.5, 23.1, 23.2

B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

BIOSIS, Derwent Biotechnology Abstracts, MEDLINE,  
search terms: astrocyte?, transplant?, explant?, therap?, DNA, vector, plasmid, culture, medium, condition?, neomycin,  
cells, resist?, select?, promoter, ribozyme, tyrosine hydroxylase, gene, nucleotide, sequence, human, brain,  
preproenkephalin, mRNA, cDNA, proenkephalin, cytokine, nerve growth factor, NGF, induce, inducible, dopaminergic